

CYNGOR SIR POWYS COUNTY COUNCIL.

CABINET EXECUTIVE
Tuesday 10th October 2023

REPORT AUTHOR: County Councillor Cllr David Thomas
Portfolio Holder for Cabinet Member for Finance and Corporate Transformation

REPORT TITLE: Strategic Risk Register Report Quarter 1 2023/2024

REPORT FOR: Decision

1. Purpose

- 1.1 The purpose of this report is to set out the Council's latest position on managing its key risks, contained in the Strategic Risk Register (SRR).

2. Background

- 2.1 Our Strategic Risk Register is key to safeguarding the organisation and building resilience into our services. At a time when the Council has faced and is still facing unprecedented challenges, the effective management of risk is needed more than ever. A risk-managed approach to decision making will help us to achieve the well-being objectives in Stronger, Fairer, Greener: Our Corporate plan, deliver services more efficiently and using innovative and cost-effective means.

3. Advice

- 3.1 To ensure a risk managed approach to decision making and good governance of the Council, it is proposed that Cabinet.
- Review progress to mitigate strategic risks
 - Review SRR proposals

Review of progress to mitigate Strategic Risks

- 3.2 As at the end of quarter 1 2023-2024, there are 15 risks on the strategic risk register and all strategic risk owners have been asked to provide a short summary of progress since last quarter, to give assurance that mitigating actions are being actioned and monitored.
- 3.3 Please see appendix A for full details of the 15 strategic risks including the mitigating actions identified to control them and progress reviews.

3.4 Please see appendix B to view a heat map which presents the results of the quarter 1 risk assessment process visually. It highlights (for the residual risks) the following:

Five out of the sixteen risks have a probability of 'likely' and an impact of 'major', one has a probability of 'almost certain' and an impact of 'major', and one has a probability of 'likely' and an impact of 'severe'.

De-escalation of risks to the Strategic Risk Register

3.5 Childrens Service would like to de-escalate the following risk:

CS0091: If there is insufficient capacity to respond to the longer-term demand in Children services in timely manner' Rating score 6.

The Service attended G&A on the 24th June 2023 where a deep dive was conducted on the risk. With a current risk profile of 'unlikely' and 'moderate', the service feels the risk is being managed successfully, and can be deescalated from the strategic risk register to the service risk register where the service will continue to manage and monitor the risk.

Note: Previously the above risk included both Adults and Children services however it is now felt appropriate to separate the risk. The risk in relation to Adult Services remains on the Strategic Risk Register with a probability of 'possible' and an impact of 'moderate',

Service risk scored 15 or above for consideration

3.6 As per our Risk Management Framework any risk that is scored 15 or above must be considered for escalation by SLT.

The following table contents the risks that fall into this category and proposal whether to escalated or not.

Risk Ref	Residual Rating	Risk Identified	Service Area	Owner	Proposal to escalate or not
PPP P002 6	20	Failure of the Public Protection Service to deliver statutory workplans/recovery plans due to staffing levels and competing priorities.	Property, Planning, and Public Protection	Gwilym Davies	No
Reasoning	Successfully being managed on service risk register and risks discussed in Qtr 1 performance review meeting with PH. Service holding a risk review session on 31 st July 2023.				

NPRI MS00 10	16	Impact of delay to the school project on the North Powys Wellbeing Programme's plans for an integrated health campus	Transformation programme - 21st C Schools -Newtown	Marianne Evans	Await Programme Board and Transformation Board decision.
Reasoning	As per the risk management framework this has been highlighted to the Programme Delivery Manager and the Programme Manager to be considered by the relevant programme board as to whether it needs to be escalated				

Strategic Risk Closure

3.7 The following risk has been closed:

PCC0003: IF the Council receives a negative regulatory / inspection report, then we might be found failing in meeting regulatory and legislative duties.

The risk has been closed on the basis that the reality of obtaining a report from regulators or auditors will ordinarily obtain a recommendation and is therefore a present certainty rather than a risk.

Escalation of risk to the Strategic Risk Register - Closed session

3.8 Consideration of proposal to escalate a risk in a closed session due to financial monitoring of risk having a commercial sensitivity.

4. Resource Implications

4.1 There are no direct resource implications in relation to this report however all risk owners need to consider the resource implications of managing the risk and decide if the best course of action is to tolerate or treat.

4.2 The Strategic Risk Register outlines the key risks to the Council's activities, as well as risk to delivery of objectives contained within the Corporate Improvement Plan. There are no direct financial implications from the report although these may arise as new risks are identified on an on-going basis.

The Head of Finance (Section 151 Officer) notes the comment above, financial implications are identified through the relevant service and are considered through the financial management processes in line with the authorities' financial regulations. All services are considering the financial impact of any risks that are expected to continue into 2023/24 and beyond in their Service Integrated Business Plans.

5. Legal implications

5.1 Legal: Comment sought

5.2 The Head of Legal Services and the Monitoring Officer has commented as follows: Comment sought

6. Data Protection

6.1 N/A

7. Comment from local member(s)

7.1 N/A

8. Integrated Impact Assessment

8.1 N/A. The Service Risk Register is not setting out any changes or proposals to service delivery.

9. Recommendation

It is recommended that Cabinet notes the current Strategic Risk Register and is satisfied with progress against mitigating actions for quarter 1, approves the de-escalation of CS0091 (detailed under point 3.5), the closure of PCC0003 and the escalation of the risk detailed under point 3.8 to the Strategic Risk Register.

The recommendation above will ensure:

- **Appropriate understanding and management of strategic risks which could prevent us from achieving our objectives**
- **A risk managed approach to decision making and good governance of the Council**

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